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A PROOF

OF THE SIMPLER PONTRJAGIN DUALITY THEOREMS BY HELP OF THE CONNECTION BETWEEN TWO INFINITE-DIMENSIONAL SPACES

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1. Two infinite-dimensional spaces, \mathfrak{M}^{∞} and \mathfrak{M}_{∞} .

In a paper by H. BOHR and the author [1]—and more detailed in [2]—a connection between two infinite-dimensional spaces was established. We shall state explicitly those of the results which will be used in the sequel.

The space \Re^{∞} consists of all points $\boldsymbol{x} = (x_1, x_2, \cdots)$ with a countable number of coordinates which are arbitrary real numbers. The convergence notion in \Re^{∞} is defined by convergence in each of the coordinates, i. e. $(x_1^n, x_2^n, \cdots) \rightarrow (x_1, x_2, \cdots)$ if $x_1^n \rightarrow x_1, x_2^n \rightarrow x_2, \cdots$. This convergence notion arises from a topology defined by help of neighborhoods $U_{N,\varepsilon}$ of $(0, 0, \cdots)$ where $U_{N,\varepsilon}$ (N positive integer, $\varepsilon > 0$) consists of all $\boldsymbol{x} =$ $= (x_1, x_2, \cdots)$ with $|x_i| < \varepsilon$ for $i = 1, 2, \cdots, N$.

The space \Re_{∞} consists of all points $\boldsymbol{a} = (a_1, a_2, \cdots)$ with a countable number of real coordinates, but so that they are all zero from a certain step (depending on the point), i.e. $a_n = 0$ for $n \ge N = N(\boldsymbol{a})$. By the topology chosen in \Re_{∞} —we need not state it here—the module of integral points in \Re_{∞} , i. e. the points with mere integral coordinates, is discrete.

For an arbitrary closed module M in \Re^{∞} we define its dual module M' in \Re_{∞} as the set of points a in \Re_{∞} for which

 $\boldsymbol{a} \cdot \boldsymbol{x} = a_1 x_1 + a_2 x_2 + \cdots \equiv 0 \pmod{1}$ for every $\boldsymbol{x} \in M$.

It is a closed module in \Re_{∞} (in the topology only referred to). We also introduce the analogous definition when *M* is a closed module in \Re_{∞} .

By a substitution $\boldsymbol{x} = T\boldsymbol{y}$ in \Re^{∞} we understand a linear transformation of the form

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which establishes a one-to-one mapping of \Re^{∞} on (the whole) \Re^{∞} . It turns out to be the same as a linear, one-to-one, bicontinuous transformation of \Re^{∞} onto itself.

The following theorems were proved.

Theorem A. A closed module in the infinite-dimensional space \Re^{∞} is a point set E which by a substitution can be transformed into a point set of a special form, in the following denoted by S^{∞} , namely a point set $\{(y_1, y_2, \cdots)\}$ of the following structure: The indices $1, 2, \cdots, n, \cdots$ can be divided into three fixed classes $\{n_r\}, \{n_s\}, \{n_t\}$, such that the coordinates y_{n_r} independently run through all numbers, and the coordinates y_{n_s} independently run through all integers, while all the remaining coordinates y_{n_l} are constantly zero. Conversely, each such point set E is a closed module.

Theorem B. If M is a closed module in \Re^{∞} or in \Re_{∞} , then the dual module M'' of its dual module M' is the module M itself, i.e.

M'' = M.

2. The Pontrjagin-van Kampen duality theorems.

Let G be a locally compact abelian group satisfying the second axiom of countability. We use the additive notation for the group. By a continuous character on G we understand (cp. [4], p. 127) a real multi-valued function $\alpha(x)$ uniquely defined modulo 1 on G with the properties

1. $\alpha (x+y) \equiv \alpha (x) + \alpha (y) \pmod{1}$.

2. To every $\varepsilon > 0$ can be found a neighborhood U of 0 such that $|\alpha(x)| < \varepsilon \pmod{1}$ for $x \in U$.

We organize the set of continuous characters on G so that it becomes a topological group. The sum $(\alpha_1 + \alpha_2)(x)$ of two characters $\alpha_1(x)$ and $\alpha_2(x)$ is defined by $(\alpha_1 + \alpha_2)(x) \equiv$ $\equiv \alpha_1(x) + \alpha_2(x)$. With this addition the characters form a group. The zero-element is the character $\alpha(x) \equiv 0$. Corresponding to every $\varepsilon > 0$ and every compact set F in G we define a neighborhood of the zero-character as the set of characters $\alpha(x)$ satisfying

 $|\alpha(x)| < \varepsilon \pmod{1}$ for $x \in F$.

In this way the group of characters becomes a topological group. We call it the character group of G and denote it by \widehat{G} .

Pontrjagin ([4], p. 128) showed that G is also a locally compact group satisfying the second axiom of countability, and furthermore he proved the following two fundamental theorems¹.

Theorem 1. For a group G of the type mentioned the character group \widehat{G} of the character group \widehat{G} is isomorphic with the group G itself, i.e.

 $\widehat{\widehat{G}} \simeq G.$

The isomorphism between \widehat{G} and G is realised in the natural way that the element $x \in G$ corresponds to the character $\chi(\alpha) = \alpha(x)$ on \widehat{G} .

Theorem 2. Let H be a subgroup of a group G of the type mentioned. If H^{*} denotes the set of characters on G which are $\equiv 0$ on H, and analogously H^{**} denotes the set of characters on \widehat{G} which are $\equiv 0$ on H^{*} then the set H^{**} by the identification of $\widehat{\widehat{G}}$ with G is identical with the set H, i.e.

 $H^{**} = H.$

The purpose of this paper is to prove the following special case of these theorems by help of the connection between the spaces \Re^{∞} and \Re_{∞} .

Simpler Pontrjagin duality theorems. For compact and for discrete abelian groups satisfying the second axiom of countability the theorems 1 and 2 are valid. By the operation of passing to the character group, a group of one of the two types is transformed into a group of the other type.

A group of the first type is in the sequel abbreviatively referred to as a compact group. A group of the second type, i.e. a countable discrete abelian group, is referred to as a discrete group.

By help of these simpler duality theorems and an investigation of the structure of locally compact groups, Pontrjagin and van Kampen obtained the theorems 1 and 2 in the general case.

¹ In this full generality first by van Kampen ([4], p. 126).

3. A realization of a compact group as a factor group inside \Re^{∞} .

In this section we shall prove a theorem about a concrete way of realizing every compact group. For theorems used in the proof we shall, as before, refer the reader to [4].

Theorem. Every compact group G is isomorphic to a factor group M/I where I is the module of integral points in \Re^{∞} and M is a closed module in \Re^{∞} containing I. The topology of M/I is given in the natural way by help of the topology in \Re^{∞} . Conversely, every factor group M/I of the type mentioned, is a compact group.

For the proof we take our starting point in the following theorem ([4], p. 46):

Urysohn's lemma. Let R be a compact regular topological space satisfying the second axiom of countability, and let E and F be lwo of its non-intersecting closed subsets. Then there exists a continuous function f(x) defined on R such that $0 \leq f(x) \leq 1$ for $x \in R$, f(x) = 0 for $x \in E$, and f(x) = 1 for $x \in F$.

Now, let *E* be a single point *a* in *R* and take a countable complete system of neighborhoods of *a*: U_1, U_2, \cdots . For *F* successively equal to $R - U_1, R - U_2, \cdots$ we construct by Urysohn's lemma the functions $f_1(x), f_2(x), \cdots$. The function

$$g(x) = \sum_{n=1}^{\infty} \frac{f_n(x)}{n^2}$$

is then a continuous function on R with g(a) = 0 and g(x) > 0for $x \neq a$.

We may apply this to the compact group G above since the underlying space of a topological group is always regular ([4], p. 56). Let a be chosen as the zero of the group. In this way we get a continuous function g(x) on G with g(0) = 0, g(x) > 0 for $x \neq 0$.

As a continuous function on a compact group, g(x) is uniformly continuous and hence also almost periodic. Thus g(x) is a continuous almost periodic function on G. We shall use the unicity theorem for Fourier series of continuous almost periodic functions

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on a topological abelian group. Concerning the fact that we use such a deep-lying theorem we may remark that the main result of the Peter-Weyl theory on continuous functions on compact abelian groups, viz. the possibility of approximating every continuous function on the group by a linear combination of functions $e^{2\pi i \alpha(x)}$, is at the bottom of all proofs of the duality theorems. For a proof of the main results in the theory of almost periodic functions on an abelian group which utilizes the abelian type of the group, see my paper [3]. There no topology was considered, but it is a well-known and obvious fact that if such a topology exists and the almost periodic function f(x) is continuous, then the characters in its Fourier series are all continuous since $C_n e^{2\pi i \alpha_n(x)} = \underset{t}{M} \{f(x-t) e^{2\pi i \alpha_n(t)}\}$ where f(x) is uniformly continuous.

Let our function g(x) above have the Fourier series

$$g(x) \sim \sum_{n=1}^{\infty} C_n e^{2\pi i \alpha_n(x)}.$$

To the arbitrary element h in G we consider the translated function

$$g(x+h) \sim \sum_{n=1}^{\infty} C_n e^{2\pi i \alpha_n(h)} e^{2\pi i \alpha_n(x)}.$$

If $\alpha_n(h) \equiv 0$ for $n = 1, 2, \dots$, then h must be equal to 0, for on account of the unicity theorem g(x+h) = g(x), in particular g(h) = g(0) = 0.

We now map the arbitrary element $h \in G$ in the points $(\alpha_1(h), \alpha_2(h), \cdots)$ in \mathfrak{R}^{∞} ; these points form a coset in \mathfrak{R}^{∞} modulo the integral module *I*, i. e. an element in \mathfrak{R}^{∞}/I . Let the image of *G* in \mathfrak{R}^{∞} be (the module) *M*. Then, *G* considered as an abstract group is mapped isomorphically on *M/I* considered as an abstract group. Moreover, this mapping of the topological group *G* is continuous when the topology in \mathfrak{R}^{∞}/I is given in the natural way by the topology in \mathfrak{R}^{∞} . Since *G* is compact and *M/I* is a regular topological space satisfying the second axiom of countability, the mapping is bicontinuous ([4], p. 44). Hence we have an isomorphic mapping of the topological group *G* on the togological group *M/I*,

$$G\simeq M/I.$$

As the image of a compact space by a continuous mapping, M/I is closed in \Re^{∞}/I . This implies that the image M of G in \Re^{∞} is closed in \Re^{∞} (since otherwise we could choose a sequence in M converging to a point not in M, and the corresponding sequence in M/I would then converge to the corresponding point in \Re^{∞}/I , a point outside of M/I). Hence M, in the realization of G above, is a closed module in \Re^{∞} .

Conversely, every factor group M/I, where M is a closed module in \Re^{∞} containing the integral module I, is a compact group since a sequence of points in M can be reduced modulo 1 to lie in the compact set $0 \leq x_1 \leq 1, 0 \leq x_2 \leq 1, \cdots$ (the second axiom of countability being obviously fulfilled).

4. Proof of the simpler duality theorems.

Let G be a compact group. We make use of the theorem of the preceding section which states that we can realize G as a factor group M/I inside \Re^{∞} . By help of this we shall see that the character group \widehat{G} can be realized as a factor group inside \Re_{∞} .

Let $\alpha(X)$ be a continuous character on M/I where X is a variable coset in M modulo I. We put $\alpha(x) \equiv \alpha(X)$ for every $x \in X$. In this way we get a continuous character $\alpha(x)$ on M. Our first task is to show that

$$\alpha(\boldsymbol{x}) \equiv \boldsymbol{a} \cdot \boldsymbol{x}$$
 where $\boldsymbol{a} \in \Re_{\infty}$.

To see this we choose by theorem A a substitution $\boldsymbol{x} = T\boldsymbol{y}$ in \mathfrak{R}^{∞} which transforms M into a module $\{(y_1, y_2, \cdots)\}$ of the simple form S^{∞} . Since M contains I, the class $\{n_t\}$ from theorem A must be empty. By this substitution the continuous character $\alpha(\boldsymbol{x})$ on M is transformed into a continuous character $\beta(\boldsymbol{y}) =$ $= \alpha(T\boldsymbol{y})$ on the transformed module $\{(y_1, y_2, \cdots)\} = \{(arbitrary, integral)\}$. Now, let

> $\beta(y_1, 0, 0, \cdots) \equiv b_1 y_1$ $\beta(0, y_2, 0, \cdots) \equiv b_2 y_2$

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where in case y_n is of "integral" type we may assume b_n reduced modulo 1 to lie in the interval $0 \le b < 1$. (It has been used here that a continuous character $\gamma(x)$ on the straight line, and on the integers, has the form $\gamma(x) \equiv bx$.) Then

$$\beta(y_1, y_2, \dots, y_n, 0, 0, \dots) = b_1 y_1 + b_2 y_2 + \dots + b_n y_n$$

but for $n \to \infty$

$$(y_1, y_2, \dots, y_n, 0, 0, \dots) \to (y_1, y_2, \dots)$$

and hence from the continuity of β the sequence

(1)
$$b_1 y_1 + b_2 y_2 + \dots + b_n y_n$$

shall converge modulo 1 for every (y_1, y_2, \cdots) from the transformed module.

Suppose now that b_n was not = 0 for $n \ge a$ certain N. Then there would exist a sequence $n_1 < n_2 < \cdots$ such that $b_{n_p} \neq 0$ for $p = 1, 2, \cdots$. To obtain a contradiction we shall indicate a point from the transformed module such that the sequence (1) is not convergent modulo 1. We put $y_n = 0$ if $b_n = 0$. For the *n* with $b_n \neq 0$, i.e. n_1, n_2, \cdots we choose y_n by induction. y_{n_1} is chosen in accordance with its type (arbitrary or integral). Suppose y_{n_p} chosen. Then we shall determine $y_{n_{p+1}}$ such that the numerical difference modulo 1 between

(2)
$$b_{n_1}y_{n_1}+b_{n_2}y_{n_2}+\cdots+b_{n_p}y_{n_p}$$

and

$$b_{n_1}y_{n_1} + b_{n_2}y_{n_2} + \dots + b_{n_p}y_{n_p} + b_{n_{p+1}}y_{n_{p+1}}$$

is $\geq \frac{1}{4}$, i. e. such that

(3)
$$|b_{n_{p+1}}y_{n_{p+1}}| \ge \frac{1}{4} \pmod{1}.$$

If $y_{n_{p+1}}$ is of the "arbitrary" type we only choose $y_{n_{p+1}}$ such that $b_{n_{p+1}} y_{n_{p+1}} = \frac{1}{2}$ which satisfies (3). If $y_{n_{p+1}}$ is of the "integral" type we write $b_{n_{p+1}}$, which is lying in the interval 0 < b < 1, as a dyadic fraction. Since not all ciphers after the

"point" in the fraction are zero or one we may choose $y_{n_{p+1}}$ as a power of 2 such that the first ciphers after the "point" in $b_{n_{p+1}}$ $y_{n_{p+1}}$ are 01 or 10. Then $b_{n_{p+1}}y_{n_{p+1}}$ reduced modulo 1 to the interval $0 \leq b < 1$ must in the first case lie in the interval $\frac{1}{4} \leq b \leq \frac{1}{2}$ and in the second case in the interval $\frac{1}{2} \leq b \leq \frac{3}{4}$. In both cases (3) is satisfied.

For this choice of the point (y_1, y_2, \cdots) from the transformed module it is obvious that (1) cannot converge modulo 1 since the distance modulo 1 between consecutive elements in the subsequence (2) is always $\geq \frac{1}{4}$.

Thus we have seen that

$$\beta(\boldsymbol{y}) = \alpha(T\boldsymbol{y}) = \boldsymbol{b} \cdot \boldsymbol{y}$$
 with $\boldsymbol{b} \in \Re_{\infty}$

and then

$$\alpha(\boldsymbol{x}) = \beta(T^{-1}\boldsymbol{x}) = \boldsymbol{b} \cdot T^{-1}\boldsymbol{x} = \boldsymbol{a} \cdot \boldsymbol{x}$$
 with $\boldsymbol{a} \in \Re_{\infty}$

where **a** is determined by $\boldsymbol{b} \cdot T^{-1}\boldsymbol{x} = \boldsymbol{a} \cdot \boldsymbol{x}$.

On the other hand every function $\alpha(x) = a \cdot x$ with $a \in \Re_{\infty}$ obviously is a continuous character on M. But in order that it has arisen from a (continuous) character on M/I a necessary and sufficient condition is that

$$\alpha(\boldsymbol{x}) \equiv \boldsymbol{a} \cdot \boldsymbol{x} \equiv 0$$
 for $\boldsymbol{x} \in I$

and this means $\boldsymbol{a} \in I'$ where I' is the dual module in \Re_{∞} of I, i. e. the module of integral points in \Re_{∞} (see 1). Now, however, different \boldsymbol{a} 's in I' may determine the same character on M, in fact

 $a_1 \cdot x = a_2 \cdot x$ for $x \in M$

means $a_1 - a_2 \varepsilon M'$ where M' is the dual module in \Re_{∞} of M (see 1).

Hence, considered as abstract groups, the character group of M/I and the group I'/M' are isomorphic. Furthermore the arbitrary continuous character $\alpha(X)$ on M/I is

$$\alpha(X) \equiv A \cdot X$$
 with $A \epsilon I'/M'$ ($X \epsilon M/I$)

(the product $A \cdot X$ being defined by help of representatives a and x of A and X).

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The topology which is ascribed to the group I'/M' in \Re_{∞} is the discrete one since already I' is discrete (see 1). This, however, is also the topology ascribed to it as the character group of a compact group, for if in \widehat{G} we consider the neighborhood of the zero-character determined by F = G and $\varepsilon = \frac{1}{4}$ it consists of the characters α with

$$|\alpha(x)| < \frac{1}{4} \pmod{1}$$
 for $x \in G$,

and the zero-character is the only such character. In fact, if $\alpha(x') \equiv 0$ for an element $x' \in G$ we could find a power 2^N of 2 such that $|\alpha(2^N x')| \ge \frac{1}{4} \pmod{1}$ (see top of p. 10).

Hence we have the result that the character group of $G \simeq M/I$ is

$$\widehat{G}\cong I'/M'.$$

To prove theorem 1 for a compact group G we have to prove that the character group of I'/M' is isomorphic to M/I by the correspondence mentioned in theorem 1. Let $\chi(A)$ be a (continuous) character¹ on I'/M'. For every $a \in A$ we put $\chi(a) \equiv \chi(A)$. Then $\chi(a)$ is a character on I'. Assume that

$$\chi(1,0,0,\cdots) \equiv x_1$$

$$\chi(0,1,0,\cdots) \equiv x_2$$

Then obviously

$$\chi(\boldsymbol{a}) = \boldsymbol{x} \cdot \boldsymbol{a} \text{ with } \boldsymbol{x} = (x_1, x_2, \cdots) \in \Re^{\infty}.$$

On the other hand every function $\chi(a) = x \cdot a$ with $x \in \mathbb{R}^{\infty}$ is a character on I'. But in order that it arises from a character on I'/M' a necessary and sufficient condition is that

$$\chi(\boldsymbol{a}) = \boldsymbol{x} \cdot \boldsymbol{a} = 0$$
 for $\boldsymbol{a} \in M$

which by theorem B means that $x \in M'' = M$. Now, however, different x's in M may determine the same character on I', in fact

$$\boldsymbol{x}_1 \cdot \boldsymbol{a} \equiv \boldsymbol{x}_2 \cdot \boldsymbol{a}$$
 for $\boldsymbol{a} \in I$

means $\boldsymbol{x}_1 - \boldsymbol{x}_2 \in I'' = I.$

¹ They are all continuous since the group is discrete.

Hence, considered as abstract groups, the character group of I'/M' and the group M/I are isomorphic. Furthermore an arbitrary character $\chi(A)$ on I'/M' has the form

$$\chi(A) \equiv X \cdot A$$
 with $X \in M/I$ $(A \in I'/M')$.

We shall now see that the topology of M/I considered as a character group of I'/M' coincides with the topology of M/I induced by the topology in \Re^{∞} .

In the first topology a neighborhood of zero is determined by an $\varepsilon > 0$ and a compact set F from I'/M', and since I'/M' is discrete F consists of a finite number of elements A_1, A_2, \dots, A_N from I'/M'. The neighborhood consists of all $X \varepsilon M/I$ with

(4)
$$|X \cdot A_n| < \varepsilon \pmod{1}, n = 1, 2, \cdots, N.$$

We now consider an arbitrary neighborhood of zero in the other topology. It consists of the $X \in M/I$ for which a representative $x = (x_1, x_2, \cdots)$ satisfies

$$|x_N| < \varepsilon \pmod{1}$$

where $\varepsilon > 0$, and N is a positive integer. In order to find a neighborhood (4) in the first topology contained in this neighborhood (5) we use the same ε and N in (4) as in (5) and choose for A_{12}, A_2, \dots, A_N the (not necessarily different) cosets with the respective representatives $(1, 0, 0, \dots), (0, 1, 0, \dots), \dots,$ $(0, 0, 0, \dots, 0, 1, 0, 0, \dots)$. In fact, for this choice the neighborhood (4) will coincide with (5).

Conversely, given an arbitrary neighborhood (4) it is possible to choose ε and N in (5) such that the neighborhood (5) is contained in the neighborhood (4). This is true since the A_n have integral \boldsymbol{a}_n as representatives in \Re_{∞} .

Hence the two topologies are equivalent, and we have the result that the correspondence from theorem 1 is an isomorphism

(5)

 $\widehat{\widehat{G}}\cong G.$

This proves theorem 1 for a compact group G.

Theorem 1 for the case of a discrete group which is written in the form \widehat{G} where G is compact, follows from the result above. In order to prove theorem 1 for an arbitrary discrete group it is therefore enough to prove that every such group is the character group of a compact group, a fact which is also stated in the "simpler theorems" on p. 5. This is easily done. Let G be an arbitrary countable discrete group. We choose a system of generators a_1, a_2, \cdots of G (for instance all elements in G). An arbitrary element $a \in G$ may be written

(6)
$$a = a_1^{n_1} a_2^{n_2} \cdots$$

We map a in the set of integral points (n_1, n_2, \cdots) of \Re_{∞} for which (6) holds good. Let 0 by this procedure be mapped in the module M_1 . Then obviously

$$G \simeq I'/M_1$$
.

Hence, from the result on p. 11 and theorem B, the group G is the character group of the compact group M'_1/I .

This proves theorem 1 for compact and discrete groups.

We now pass to the proof of theorem 2 for compact and discrete groups. Let G be a compact group and H a subgroup. By the isomorphism

$$G \simeq M/I$$

the set *H* corresponds to the set N/I where *N* is a closed module in \Re^{∞} , $I \subseteq N \subseteq M$. As found on pp. 10—11, the character group of M/I is I'/M' and an arbitrary continuous character $\alpha(X)$ on M/Iis of the form

$$\alpha(X) \equiv A \cdot X \ (A \in I'/M', X \in M/I).$$

We shall now pick out the characters which are = 0 on N/I, i.e. for which

$$A \cdot X \equiv 0$$
 for $X \in N/I$,

but this means (by the definition of dual module, p. 3) that the A's from I'/M' shall be taken from the subset N'/M'.

We repeat the procedure. As found on p. 12, an arbitrary character $\chi(A)$ on l'/M' has the form

$$\chi(A) \equiv X \cdot A \ (X \in M/I, A \in I'/M'),$$

and we have to pick out the characters which are $\equiv 0$ on N'/M', i. e. for which

 $X \cdot A = 0$ for $A \in N'/M'$,

but this means (by the definition of dual module, p. 3) that the X's from M/I shall be taken from the subset N''/I which by theorem B is equal to N/I, q.e.d.

Since $\widehat{G} \cong I'/M'$ is an arbitrary discrete group and $H^* \cong N'/M'$ is an arbitrary subgroup of $\widehat{G} \cong I'/M'$, the theorem 2 is also proved for a discrete group.

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